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*Ecology/Ecological Monographs/Ecological Applications*

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1 **Global scale environmental control of plant photosynthetic capacity**

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37 **Abstract**

38           Photosynthetic capacity, determined by light harvesting and carboxylation reactions, is a  
 39 key plant trait that determines the rate of photosynthesis; however, in Earth System Models  
 40 (ESMs) at a reference temperature it is either a fixed value for a given plant functional type or  
 41 derived from a linear function of leaf nitrogen content. In this study, we conducted a  
 42 comprehensive analysis that considered correlations of environmental factors with  
 43 photosynthetic capacity as determined by maximum carboxylation rate scaled to 25°C [i.e.,  
 44  $V_{c,max25}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )] and maximum electron transport rate scaled to 25°C [i.e.,  $J_{max25}$   
 45 ( $\mu\text{mol electron m}^{-2} \text{ s}^{-1}$ )] at the global scale. Our results showed that the percentages of variations  
 46 in observed  $V_{c,max25}$  and  $J_{max25}$  explained jointly by the environmental factors ( i.e. day length,  
 47 radiation, temperature and humidity) were 2-2.5 times and 6-9 times of that explained by area-  
 48 based leaf nitrogen content, respectively. Environmental factors influenced photosynthetic  
 49 capacity mainly through the photosynthetic nitrogen use efficiency, rather than through leaf  
 50 nitrogen content. The combination of leaf nitrogen content and environmental factors was able to  
 51 explain ~56% and ~66% of the variation in  $V_{c,max25}$  and  $J_{max25}$  at the global scale, respectively.  
 52 Our analyses suggest that model projections of plant photosynthetic capacity and hence land-  
 53 atmosphere exchange under changing climatic conditions could be substantially improved if  
 54 environmental factors are incorporated into algorithms used to parameterize photosynthetic  
 55 capacity in ESMs.

56

57 **Keywords:** climate variables, Earth System Models, and plant traits

58

59 **Introduction**

60 Our planet is experiencing the warmest temperatures in at least 2000 years ([Booth et al. 2012](#),  
 61 [Friedlingstein et al. 2014](#)) and sophisticated Earth System Models (ESMs) have been developed  
 62 to simulate the trajectory of climate warming in the coming decades ([Meehl et al. 2013](#), [Taylor et](#)  
 63 [al. 2013](#)). A major component of ESMs is the land surface where photosynthesis and respiration  
 64 drive carbon fluxes between plants and the atmosphere (e.g. [Sitch et al. 2003](#), [Oleson et al.](#)  
 65 [2013](#)). Canopy photosynthetic uptake of CO<sub>2</sub> is a key process in these models, which depends on  
 66 the environmental conditions (e.g., temperature, radiation and humidity) and the plant's  
 67 photosynthetic capacity at a reference temperature generally at 25°C. For most of the  
 68 photosynthesis models within ESMs, photosynthetic capacity is represented by the leaf-level  
 69 maximum carboxylation rate at 25°C ( $V_{c,max25}$ ,  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and the leaf-level maximum  
 70 electron transport rate at 25°C ( $J_{max25}$ ,  $\mu\text{mol electron m}^{-2} \text{ s}^{-1}$ ) ([Farquhar et al. 1980](#), [Baldocchi and](#)  
 71 [Meyers 1998](#), [Canadell et al. 2000](#), [Zaehle et al. 2005](#), [Friend 2010](#), [Bonan et al. 2011](#), [Rogers](#)  
 72 [2014](#)).

73 Vegetation is represented in ESMs as plant functional types (PFTs) ([White et al. 2000](#),  
 74 [Bonan et al. 2003](#), [Sitch et al. 2003](#), [Oleson et al. 2013](#)), which are parameterized with traits that  
 75 describe the form and function of a given PFT.  $V_{c,max25}$  and  $J_{max25}$  of PFTs are either a fixed trait,  
 76 or, where nitrogen dynamics are simulated, a function of the prognostic leaf nitrogen content  
 77 ([Haxeltine and Prentice 1996](#), [Bonan et al. 2003](#), [Kattge et al. 2009](#), [Thornton et al. 2009](#), [Zaehle](#)  
 78 [et al. 2010](#)). Many empirical studies have shown that  $V_{c,max25}$  and  $J_{max25}$  correlate with leaf  
 79 nitrogen content (e.g. [Ryan 1995](#), [Reich et al. 1998](#), [Medlyn et al. 1999](#), [Kattge et al. 2009](#),  
 80 [Rogers 2014](#)) and this relationship forms the basis of many ESM estimations of  $V_{c,max25}$  ([Kattge](#)  
 81 [et al. 2009](#), [Rogers 2014](#)) and  $J_{max25}$  ([Kattge and Knorr 2007](#)). Variation in  $V_{c,max25}$  and  $J_{max25}$  is

82 substantial ([Wullschleger 1993](#), [Medlyn et al. 1999](#)) and occurs with growth conditions ([Reich et](#)  
 83 [al. 1998](#), [Cai et al. 2007](#)), season ([Wilson et al. 2000](#), [Onoda et al. 2005](#)) and among species  
 84 ([Wohlfahrt et al. 1999](#), [Joel et al. 2001](#), [Ellsworth et al. 2004](#)). In view of these large variations,  
 85 it is well recognized that current ESM parameterization of  $V_{c,max25}$  and  $J_{max25}$  over-simplifies  
 86 the representation of this model input and that refined representation of variables that control  
 87  $V_{c,max25}$  and  $J_{max25}$  are critical for improving model simulations of land-atmosphere carbon  
 88 exchange ([Bonan et al. 2011](#), [Bauerle et al. 2012](#), [Rogers 2014](#)).  $V_{c,max25}$  and  $J_{max25}$  are tightly  
 89 coupled, and therefore a fixed ratio of  $J_{max25}/V_{c,max25}$  is typically assumed in large modeling  
 90 schemes but only  $V_{c,max25}$  has been measured and studied more extensively ([Wullschleger 1993](#),  
 91 [Leuning 1997](#), [Kattge and Knorr 2007](#)).

92 Many studies have explored the environmental control of photosynthetic capacity ([von](#)  
 93 [Caemmerer and Farquhar 1984](#), [Evans and Poorter 2001](#), [Wilson et al. 2001](#), [Ainsworth and](#)  
 94 [Long 2005](#), [Misson et al. 2006](#), [Bauerle et al. 2012](#), [Maire et al. 2012](#), [Xu et al. 2012](#)). However,  
 95 they generally consider one or two environmental factors (e.g. CO<sub>2</sub>, radiation) or are limited to a  
 96 specific region or continent. As far as we know, only one study has evaluated the multi-  
 97 environmental control of photosynthetic capacity at the global scale ([Reich et al. 2007](#));  
 98 however, that study had key limitations. It did not consider important environmental factors such  
 99 as day length ([Bauerle et al. 2012](#)), nor did it consider radiation levels at different canopy  
 100 locations ([Meir et al. 2002](#), [Niinemets et al. 2007](#)). Most importantly, they focused on light  
 101 saturated photosynthetic rate (i.e.,  $A_{max}$ ) but not on  $V_{c,max25}$  nor on  $J_{max25}$ .  $A_{max}$  is not a good  
 102 measure of photosynthetic capacity in view that  $V_{c,max25}$  and  $J_{max25}$ , and other physiological  
 103 properties such as stomatal conductance combined with environmental conditions are used to  
 104 determine  $A_{max}$ . Thus,  $A_{max}$  is generally not used in ESMs as a parameter of photosynthetic

105 capacity. Furthermore, Reich et al.'s study predicted photosynthetic capacity at a global scale by  
 106 using annual climate conditions. Long-term averages of climatic conditions ([Reich et al. 2007](#))  
 107 may not represent the conditions (such as peak growing season) that most influence the achieved  
 108 rates of photosynthetic capacity. Given that we cannot account for very short-term fluctuations  
 109 (both due to lack of day-to-day observations and a lack of theory about short-term variation in  
 110 photosynthetic capacity), in this study, we focus on the intermediate time scale (monthly). In  
 111 agreement with the half-life time of Rubisco at seven days ([Suzuki et al. 2001](#)), we assume that  
 112 photosynthetic capacity varies with the monthly mean environmental conditions during plant  
 113 growth ([Medlyn et al. 2002a](#), [Kattge and Knorr 2007](#), [Maire et al. 2012](#)).

114 In order to identify environmental factors that could be incorporated in ESMs to predict  
 115 photosynthetic capacity (i.e.,  $V_{c,max25}$  and  $J_{max25}$ ), we assembled data that included variation in  
 116 plant growth conditions resulting from seasonal cycles, latitudinal gradients, and different  
 117 canopy locations and then conducted a comprehensive analysis of photosynthetic capacity across  
 118 the globe using monthly-mean environmental conditions that include temperature, radiation,  
 119 humidity, and day length. Environmental factors could potentially affect  $V_{c,max25}$  or  $J_{max25}$  in  
 120 many ways including the mass-based leaf nitrogen content ([Maire et al. 2012](#)), the specific leaf  
 121 area ([Poorter and Evans 1998](#)), leaf age ([Escudero and Mediavilla 2003](#)), and the nitrogen  
 122 allocation through photosynthetic apparatus and specific activity of photosynthetic enzymes  
 123 ([Poorter and Evans 1998](#)). In this study, we define  $V_{c,max25}$  as the product of  $NUE_{c,max25}$  ( $\mu\text{mol}$   
 124  $\text{CO}_2 \text{ g}^{-1} \text{N s}^{-1}$ ) and area-based leaf nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ), where  $NUE_{c,max25}$  is the  
 125  $V_{c,max25}$  per unit of leaf nitrogen. Similarly, we define  $J_{max25}$  as the product of  $NUE_{j,max25}$  ( $\mu\text{mol}$   
 126  $\text{electron g}^{-1} \text{N s}^{-1}$ ) and  $LNC_a$ , where  $NUE_{j,max25}$  is the  $J_{max25}$  per unit of leaf nitrogen and is  
 127 denoted as a measure of nitrogen-use efficiency of  $J_{max25}$ . Based on the above definitions,

128 environmental factors could affect  $V_{c,max25}$  or  $J_{max25}$  through two pathways, either by modifying  
 129 the absolute nitrogen content, or by modifying the photosynthetic nitrogen use efficiency. We  
 130 explored the relative importance of these two pathways to better understand how environmental  
 131 factors affect  $V_{c,max25}$  and  $J_{max25}$ .

133 **Methodology**

134 *Overview*

135 We obtained individual values of  $V_{c,max}$ ,  $J_{max}$  and area-based leaf nitrogen content by  
 136 digitizing data from the literature. The values of  $V_{c,max}$  and  $J_{max}$  were first standardized to  
 137 common kinetic parameters and photosynthetic functions and then scaled to 25°C using a  
 138 reference temperature response function. The corresponding mean monthly temperature, incident  
 139 radiation, day length and relative humidity at the time of the measurements were then obtained  
 140 from the CRUNCEP.v4 dataset ([Mitchell and Jones 2005](#)). We assume that at the short-term time  
 141 scales (e.g., daily basis), photosynthetic rates changes due to environmental conditions ([Reich et](#)  
 142 [al. 1991a](#), [Sullivan et al. 1996](#), [Porté and Loustau 1998](#)) but not much on the photosynthetic  
 143 capacities as determined by  $V_{c,max25}$  and  $J_{max25}$  (e.g. [Xu and Baldocchi 2003](#)). In contrast, at the  
 144 intermediate time scales (e.g, monthly basis),  $V_{c,max25}$  or  $J_{max25}$  could change due to plant  
 145 acclimations to environmental conditions ([Meir et al. 2002](#), [Medlyn et al. 2002b](#), [Kattge and](#)  
 146 [Knorr 2007](#)). Therefore, in this study, we used the monthly mean data to investigate how much  
 147 environmental variables and leaf nitrogen content could contribute to variations in observed  
 148  $V_{c,max25}$  and  $J_{max25}$  using a linear mixed-effects model.

149

150 *Data and observations*

151 We conducted a literature search on Google Scholar to locate publications that included  
 152 the key words “ $V_{c,max}$ ” or “ $J_{max}$ ” and also contained “leaf nitrogen content” or “maximum  
 153 carboxylation capacity” or “maximum electron transport rate”. We identified several more  
 154 publications from the references cited in these studies. Some of the dataset used in our study are  
 155 deposited in the global data base of plant traits via the TRY initiative ([Kattge et al. 2011](#)). To  
 156 ensure that our literature review was comprehensive, we also searched the bibliographic  
 157 resources from Curtis ([1996](#)), Wullschleger ([1993](#)) and Ainsworth & Rogers ([2007](#)), and  
 158 included the studies that met our basic requirements. We identified a lack of data from the Arctic  
 159 region and therefore incorporated unpublished data from Alistair Rogers (Brookhaven National  
 160 Laboratory, NY, USA) collected in Barrow, Alaska as part of the Department of Energy’s Next  
 161 Generation Experiment in the Arctic (NGEE-Arctic). We want to point out that different data  
 162 sets are used for the analyses of  $V_{c,max25}$  and  $J_{max25}$  because not all the studies report both  $V_{c,max25}$   
 163 and  $J_{max25}$ .

164 The  $V_{c,max}$  and  $J_{max}$  values were standardized to 25°C using reference temperature  
 165 dependence functions so that a comparison across data could be made at a common temperature.  
 166 We recognize that this is a highly non-trivial task and that a number of formulations have been  
 167 made to choose among. Some of these temperature functions show weaker correspondence with  
 168 the experimental data ([Harley and Baldocchi 1995](#)) while other temperature functions do not  
 169 provide accurate modeled temperature responses of Rubisco-limited photosynthetic rate  
 170 ([McMurtrie and Wang 1993](#)). To ensure that our conclusions are not dependent on the choice of  
 171 temperature response function (TRF), we explored four alternative functions. The first  
 172 temperature response function (TRF1) had temperature dependence of Rubisco kinetic  
 173 parameters and temperature sensitivity of  $V_{c,max}$  based on the  $Q_{10}$  concept ([Collatz et al. 1991](#),

174 [Sellers et al. 1996](#)). The second temperature response function (TRF2) had temperature  
 175 dependence of Rubisco kinetic parameters ( $K_c$ ,  $K_o$ ,  $\tau$ ) based on an Arrhenius function taken from  
 176 Bernacchi et al. ([2001](#)) with the temperature sensitivity of  $V_{c,max}$  using an Arrhenius function as  
 177 in Leuning ([2002](#)). The kinetic properties of Rubisco that depend on temperature include  
 178 Rubisco specific factor ( $\tau$ ) ([Jordan and Ogren 1984](#)), and the Michaelis-Menten constants for  
 179  $CO_2$  ( $K_c$ ) and  $O_2$  ( $K_o$ ). Since relationships of kinetic parameters could acclimate to variation in  
 180 growth temperature ([Yamori et al. 2005](#), [Yamori et al. 2006](#)), the third temperature response  
 181 function (TRF3) considered Kattge & Knorr ([2007](#))'s formulation of acclimation, where  
 182 temperature optimum was a function of growth temperature. Finally, the fourth temperature  
 183 response function (TRF4) was taken from Kattge & Knorr ([2007](#))'s formulation but had limited  
 184 temperature acclimation, where the plant's growth temperature was constrained between 11°C  
 185 and 35°C (see Appendix G for details). Exemplary response of  $V_{c,max}/V_{c,max25}$  to temperature for  
 186 all TRFs is presented in Figure 1. To save space, we only included the figures for TRF4 in the  
 187 main text and placed the figures for other TRFs in the Appendix A. The reason we focus on  
 188 TRF4 is that it has medium level of temperature acclimation and is currently utilized in one of  
 189 the ESMs e.g. Community Land Model (CLM4.5) ([Oleson et al. 2013](#)).

190 In this study, we have data for 127 species from a total of 58 studies on  $V_{c,max}$ , including  
 191 six studies that specifically considered seasonal cycles of  $V_{c,max}$  (see Table A1 for details). We  
 192 have 636 data points of  $J_{max}$  values, which were reported by fifty studies. Studies which reported  
 193  $V_{c,max}$  or  $J_{max}$  over four or more consecutive months were considered as seasonal studies, while  
 194 the remaining studies were classified as non-seasonal (Table A1 for details). Ten of the non-  
 195 seasonal studies explored relationships with light attenuation through the canopy profile (Table  
 196 A1 for details) and were classified as vertical canopy layer studies. In our study, we have a total

197 of 833 data points that encompass  $V_{c,max25}$  under different leaf nitrogen contents and  
 198 environmental conditions.

199 We used freely available digitization and data extraction software (Engauge Digitizer 5.1:  
 200 <http://digitizer.sourceforge.net>) to extract data from figures for a number of studies when they  
 201 were not reported in text or tables. If the climate covariates (temperatures, radiation and/or  
 202 specific humidity) were not reported, they were extracted from the CRUNCEP.v4 dataset with a  
 203 resolution of half a degree ([Mitchell and Jones 2005](#)). Specifically, the climate covariates were  
 204 obtained every six hours within a day using the latitudes, longitudes and the year in which the  
 205 photosynthesis measurements were made for each study based on a bilinear interpolation.  
 206 Bilinear interpolation is used to estimate the climate conditions of each study site from the  
 207 gridded climate data. We assumed that species experienced the mean monthly environmental  
 208 conditions when photosynthetic measurements were made, instead of using mean annual climatic  
 209 conditions ([Wright et al. 2004](#), [Reich et al. 2007](#)). We expect that monthly summaries of  
 210 environmental conditions would potentially characterize plant growth conditions better than  
 211 annual means in view that photosynthetic capacity responses to changing environment due to  
 212 enzyme turnover (estimated between one to seven days) ([Holaday et al. 1992](#), [Piques et al. 2009](#),  
 213 [Suzuki et al. 2010](#)). Leaf temperatures were usually reported, but plant growth temperature was  
 214 rarely given. Because we needed the radiation conditions, if the study reported the canopy  
 215 location (i.e they provided the canopy profile) and hence radiation levels, we used all of the data.  
 216 For studies that did not report the canopy locations explicitly, we only used the upper 25% of the  
 217  $V_{c,max}$  and  $J_{max}$  data, in view that higher  $V_{c,max25}$  and  $J_{max25}$  are generally associated with higher  
 218 canopy locations ([Niinemets 1997](#)) and our climate data from CRUNCEP.v4 dataset is only for  
 219 the top of the canopy.

220 Specific humidity was used to calculate the relative humidity and vapor pressure deficit  
 221 using the saturated water vapor pressure equation. The saturated vapor pressure and its  
 222 derivative, as a function of temperature, were calculated from the eighth-order polynomials fits  
 223 of Flatau et al. ([1992](#)). In this study, we do not consider atmospheric CO<sub>2</sub> concentration as one  
 224 of the environmental variables because we only have a limited number of species for elevated  
 225 CO<sub>2</sub> studies ([Medlyn et al. 1999](#), [Ainsworth and Rogers 2007](#)), which could lead to potential  
 226 bias of the CO<sub>2</sub> impact in the analysis given the large amount of variations in  $V_{c,max25}$  and  $J_{max25}$   
 227 across different species.

228 We did not consider row crops in this study because agricultural practices could  
 229 confound analysis of the relationships we were exploring. In this work, we focus on C<sub>3</sub> species  
 230 only because they comprise the bulk of plant species on earth. We acknowledge that leaf life  
 231 span has been shown to be an important correlate of plant traits such as leaf nitrogen content and  
 232 photosynthesis per unit leaf mass ([Wright et al. 2004](#)). We did not consider leaf lifespan because  
 233 only a handful number of studies reported its values and therefore we posit that leaf life span is  
 234 still poorly quantified ([but see Reich et al. 2014](#)); however, we do consider evergreen vs.  
 235 deciduous habit which does account for variation in leaf life-span, albeit in a crude fashion. Our  
 236 database covers latitudes from -45.5° S to 71.5° N and longitudes -157.2° W to 176.3° E. Table  
 237 A1 lists references to observations and leaf nitrogen content of natural vegetation. The months  
 238 and years in which the photosynthesis measurements were made and the location of the study  
 239 sites (latitudes and longitudes) were specified in the published papers.

240

241 *Linear mixed-effects model*

242 Our data were not independently sampled. Instead, a hierarchical sampling regime was  
 243 used to collect the data. Namely, observations were first selected based on location. Within each  
 244 location, there were samples based on time or species. Observations are likely to be correlated  
 245 because data from the same location and time could have similar conditions (e.g., soil properties  
 246 and hydrological conditions) that are not perfectly quantified by the available environmental  
 247 variables. Thus, simple linear regression assuming independence among observational records  
 248 was not appropriate for our study. Instead, we applied a linear mixed-effects model ([Laird and](#)  
 249 [Ware 1982](#), [Lindstrom and Bates 1988](#)) to account for this correlation among observations,  
 250 where there were three random effects - the location of the photosynthesis measurement site,  
 251 plant species and time (months).

252 The linear mixed-effects model used in this study considered both the area-based leaf  
 253 nitrogen content and the environmental variables (E) including day length (D), relative humidity  
 254 (RH), temperature (T) and radiation (R). The form of the model is as follows

$$255 \quad V_{c,max25} = \alpha + \beta_1 LNC_a + E + \varepsilon_{location} + \varepsilon_{species} + \varepsilon_{time} + \varepsilon, \quad (1)$$

256 where

$$257 \quad E = \beta_2 R + \beta_3 D + \beta_4 T + \beta_5 RH, \quad (2)$$

258 and the error terms are denoted by  $\varepsilon$ . In Eq.1, the variance of  $\varepsilon$  is  $\sigma^2$ . The random errors  
 259 resulting from spatial location, species and time (month) are  $\varepsilon_{location}$ ,  $\varepsilon_{species}$ , and  $\varepsilon_{month}$ ,  
 260 respectively. The estimated variance of different error terms are listed in Table A4. We used a  
 261 similar linear mixed-effects model for  $J_{max25}$  (see Table A5 for different error terms).

262 We used the proportion of variance in observed  $V_{c,max25}$  and  $J_{max25}$  explained by a certain  
 263 model (i.e.,  $r^2$ ) to test the strength of the model. To ascertain how much all of the environmental

264 variables contributed to variations in observed  $V_{c,max25}$ , we first determined the proportion of  
 265 variation in  $V_{c,max25}$  explained by the full model (using all of the environmental variables and leaf  
 266 nitrogen content as the explanatory variables), which is denoted as  $r_0^2$ . Then we obtained the  
 267 proportion of variation in  $V_{c,max25}$  explained by using only leaf nitrogen content as the  
 268 explanatory variable, which is denoted as  $r_1^2$ . The difference between  $r_0^2$  and  $r_1^2$  was the  
 269 proportion of variation in  $V_{c,max25}$  explained by all of the environmental variables ([Xu and](#)  
 270 [Gertner 2008](#)). Similarly, the proportion of variation in  $V_{c,max25}$  uniquely explained by a single  
 271 variable (e.g. leaf nitrogen content or temperature) is calculated by the difference in  $r^2$  between  
 272 the full model and the submodel that includes all variables except for the variable of interest. For  
 273 example, the proportion of variation in  $V_{c,max25}$  contributed by leaf nitrogen content is calculated  
 274 by subtracting the  $r^2$  of the submodel that includes all the environmental factors from the  $r^2$  of  
 275 the full model. In a similar fashion, we calculated the impact of environmental variables and leaf  
 276 nitrogen content on  $J_{max25}$ .

277

278 *Plant functional types (PFTs) & biome regions*

279 Many ESMs simplify the representation of vegetation by dividing species into several  
 280 simple PFTs ([White et al. 2000](#), [Bonan et al. 2003](#), [Sitch et al. 2003](#), [Oleson et al. 2013](#)), due to  
 281 the computational limitations and our limited understanding of physiological properties of a  
 282 comprehensive list of species. In these models, each PFT has a particular set of traits and makes  
 283 up a particular proportion of vegetation at a site. Reich et al. ([1997](#)) and Wright et al. ([2004](#))  
 284 suggested possibilities for building new vegetation schemes that are conceptually cleaner,  
 285 computationally easier, and that consider trait variation. In this study, we used a common set of  
 286 plant traits (e.g. growth form, leaf form, and leaf status) and site properties (e.g., region and soil

287 type) ([Reich et al. 2007](#), [Kattge et al. 2009](#), [Van Bodegom et al. 2012](#), [van Ommen Kloeke et al.](#)  
 288 [2012](#)) to our linear mixed-effects model. We grouped species in different combination of PFTs  
 289 by growth form (herbaceous, shrubs and trees), leaf form (needleleaf and broadleaf), leaf status  
 290 (evergreen and deciduous), region (tropical, temperate, boreal and arctic) and soil type (oxisol or  
 291 non-oxisol) as an index of soil fertility ([Kattge et al. 2009](#)). We stratified the terrestrial  
 292 vegetation with four levels of PFT definition with increasing complexity. The first PFT  
 293 definition (PFTD1) consisted of three growth forms only with a total of 3 PFTs. The second PFT  
 294 definition (PFTD2) included three growth forms, two leaf forms and two leaf status with a total  
 295 of 9 PFTs. Based on simple combination, PFTD2 would have contained a maximum of 12 PFTs.  
 296 Our data has 9 PFTs for PFTD2 instead of 12 because other combinations of PFTs do not exist in  
 297 our data, and the same reasoning hold for the other PFT definitions. The third PFT definition  
 298 (PFTD3) was comprised of three growth forms, two leaf forms, two leaf status and four regions  
 299 with a total of 19 PFTs. The last level of PFT (PFTD4) was comprised of three growth forms,  
 300 two leaf forms, two leaf status, four regions and two soil types with a total of 21 PFTs.

301 To explore the global pattern of  $V_{c,max25}$  and  $J_{max25}$ , we divided the globe into different  
 302 regions, following Spurr & Barnes ([1980](#)). Tropical was between 23.5S and 23.5N. The  
 303 temperate region was between 23.5N and 50N and between 23.5S and 50S. The boreal region  
 304 was between 50N and 66.5N while the Arctic was from 66.5N to the North Pole.

305

## 306 **Results**

### 307 *Global variability of photosynthetic capacity*

308 Our results showed that, at the global scale, species from tropical zones tend to have low  
 309  $V_{c,max25}$  and  $J_{max25}$  while species from higher latitudes tend to have high  $V_{c,max25}$  and  $J_{max25}$  for

310 all the four different temperature response functions we used (Fig. 2 a,d; and Fig. A1 a,d; A2 a,d;  
 311 A3 a,d). The high photosynthetic capacity generally results from a higher photosynthetic  
 312 nitrogen use efficiency of  $V_{c,max25}$  or a higher photosynthetic nitrogen use efficiency of  $J_{max25}$   
 313 rather than a higher leaf nitrogen content (Fig. 2 b,c,e,f; and Fig. A1 b,c,e,f; A2 b,c,e,f; A3  
 314 b,c,e,f).

315

316 *Environmental factors versus leaf nitrogen effects on photosynthetic capacity*

317 Environmental variables contributed to about two times larger amount of variation in  
 318 observed  $V_{c,max25}$  than that of the leaf nitrogen content for TRF2 and TRF3 (Fig. 3a). For TRF1  
 319 and TRF4, environmental variables contributed to about 2.5 times larger amount of variation in  
 320 observed  $V_{c,max25}$  than that of the leaf nitrogen content (Fig. 3a). Leaf nitrogen content explained  
 321 ~17% of the variation in  $V_{c,max25}$  for TRF1, TRF2, TRF3, TRF4, while environmental variables  
 322 jointly explained 36-41% of the variation in  $V_{c,max25}$  (Fig. 3a). The percentage of variation in  
 323  $V_{c,max25}$  explained by the environmental variables using temperature functions with acclimation  
 324 (36-41%) was similar to without acclimation (39-41%) (Fig. 3a). The primary environmental  
 325 factors for  $V_{c,max25}$  were day length, radiation, temperature and relative humidity in order of  
 326 decreasing importance (Fig. 4a; and Fig. A5a; A7a; A9a; A11a). Based on our linear mixed-  
 327 effects model, day length, radiation, relative humidity and leaf nitrogen had positive effects on  
 328  $V_{c,max25}$ , while temperature had negative effects on  $V_{c,max25}$  (Fig. 4 b-f; and Fig. A4 b-f; Fig. A6 b-  
 329 f; Fig. A8 b-f).

330 Environmental variables contributed to about 6 times larger amount of variation in  
 331 observed  $J_{max25}$  than that of the leaf nitrogen content for TRF3 and TRF4 (Fig. 3a). For TRF1  
 332 and TRF2, environmental variables contributed to about 9 times larger amount of variation in

333 observed  $J_{max25}$  than that of the leaf nitrogen content (Fig. 3a). Leaf nitrogen content explained  
 334 ~6% of the variation in  $J_{max25}$  for temperature response functions without acclimation (TRF1 and  
 335 TRF2), while it explained ~9% of the variation in  $J_{max25}$  for temperature response functions with  
 336 acclimation (TRF3 and TRF4). Environmental variables jointly explained ~61% of the variation  
 337 in  $J_{max25}$  when TRF2 and TRF4 were used (Fig. 3b). The percentage of variation in  $J_{max25}$   
 338 explained by the environmental variables was relatively low for TRF1 and TRF3 (~55%) (Fig.  
 339 3b). The key environmental factors for  $J_{max25}$  were day length, temperature, radiation, and  
 340 relative humidity in order of decreasing importance (Fig. 5a; and Fig. A5a; A7a; A9a). Based on  
 341 our linear mixed-effects model, day length, radiation, relative humidity and leaf nitrogen had  
 342 positive effects on  $J_{max25}$ , while temperature had negative effects on  $J_{max25}$  (Fig. 5 b-f; and Fig.  
 343 A5 b-f; Fig. A7 b-f; Fig. A9 b-f).

344 In terms of the relative importance of the two pathways through which environmental  
 345 factors can affect  $V_{c,max25}$ , our results showed that environmental variables jointly contributed to  
 346 a small amount of variation in observed leaf nitrogen content for different temperature response  
 347 functions (4.5-5%; Fig. 6a) compared to their contribution to photosynthetic nitrogen use  
 348 efficiency of  $V_{c,max25}$  (42-49%; Fig. 6a). The largest amount of variation in photosynthetic  
 349 nitrogen use efficiency of  $V_{c,max25}$  ( $NUE_{c,max25}$ ) was explained by day length (33-39%; Fig. 7a;  
 350 and Fig. A10a - A12a). Radiation, relative humidity and temperature had smaller impact on  
 351  $NUE_{c,max25}$  (0.6-10%; Fig. 7a; and Fig. A10a - A12a). Together, environmental variables had  
 352 much more control over photosynthetic nitrogen use efficiency of  $V_{c,max25}$  than leaf nitrogen  
 353 content (Fig. 6a).

354 In the case of  $J_{max25}$ , environmental variables in combination contributed to a small  
 355 amount of variation in observed leaf nitrogen content for different temperature response

356 functions (~9%) compared to their contribution to photosynthetic nitrogen use efficiency of  
 357  $J_{max25}$  (52-56%; Fig. 6b). The largest amount of variation in photosynthetic nitrogen use  
 358 efficiency of  $J_{max25}$  ( $NUE_{j,max25}$ ) was explained by day length (31-37%; Fig. 7b; and Fig. A10b -  
 359 A12b). Radiation, relative humidity and temperature had smaller impact on  $NUE_{j,max25}$  (0-17%;  
 360 Fig. 7b; and Fig. A10b - A12b). Together, environmental variables had much more control over  
 361 photosynthetic nitrogen use efficiency of  $J_{max25}$  than leaf nitrogen content (Fig. 6b). We want to  
 362 point out that the difference in the explained amount of the variation for leaf nitrogen content is  
 363 slightly different because different data sets are used for  $V_{c,max25}$  and  $J_{max25}$  as not all the studies  
 364 reported both  $V_{c,max25}$  and  $J_{max25}$ .

365

366 *Photosynthetic capacity for plant functional types (PFTs)*

367 Our results showed that, across all of the temperature response functions, the model that  
 368 uses the most comprehensive classification of PFTs (PFT definition 4 with 21 PFTs in Table 3;  
 369  $r^2=40-47\%$ ) explained less of the variation in observed  $V_{c,max25}$  than the model that only uses leaf  
 370 nitrogen content and environmental variables (Fig. 8a;  $r^2=54-58\%$ ). For TRF1, TRF2, TRF3 and  
 371 TRF4, and depending on the PFT grouping methodology, PFT explains 10-47% of the variation  
 372 in photosynthetic capacity of  $V_{c,max25}$  (Fig. 9; and Fig. A13; A15; A17). The addition of  
 373 environmental variables increased the explanation of variation to 40-54% (Fig. 9; and Fig. A13;  
 374 A15; A17). Finally, the addition of leaf nitrogen content resulted in a total of 55-64% of  
 375 variation explained (Fig. 9; and Fig. A13; A15; A17). This indicates that the addition of both  
 376 environmental variables and leaf nitrogen content to PFTs substantially improves the predictive  
 377 power of the empirical model of  $V_{c,max25}$ . Since the PFT definitions 3 and 4 considered growth  
 378 regions, both definitions implicitly contain the climate information, explaining why including

379 environmental factors with these PFT definitions does not greatly improve the proportion of  
 380 variation explained (Fig. 9; and Fig. A13; A15; A17). We want to highlight that for every  
 381 temperature response function (TRF1, TRF2, TRF3 and TRF4), the explained variance of  $V_{c,max25}$   
 382 by the most comprehensive classification of PFTs (PFT definition 4 with 21 PFTs in Fig. 9; Fig.  
 383 A13; A15; A17;  $r^2=40-46\%$ ) and by the most comprehensive classification of PFTs combined  
 384 with environmental variables (Fig. 9; Fig. A13; A15; A17;  $r^2=49-54\%$ ) is lower than the model  
 385 that only uses leaf nitrogen content and environmental variables (Fig. 9; Fig. A13; A15; A17;  
 386  $r^2=54-58\%$ ). This suggests that we will be able to use environmental variables and leaf nitrogen  
 387 content to make reasonable predictions about the photosynthetic capacity of  $V_{c,max25}$  at the global  
 388 scale, without distinguishing individual PFTs.

389 Our results also showed that, across all of the temperature response functions, the model  
 390 that uses the most comprehensive classification of PFTs (PFT definition 4 with 21 PFTs in Fig.  
 391 8b;  $r^2=59-69\%$ ) explained less amount of variation in observed  $J_{max25}$  than the model that only  
 392 uses leaf nitrogen content and environmental variables (Fig. 8b;  $r^2=63-69\%$ ). For TRF1, TRF2,  
 393 TRF3, and TRF4, and depending on the PFT grouping methodology, PFT explains 33-69% of  
 394 the variation in photosynthetic capacity of  $J_{max25}$  (Fig. 10; Fig. A14; A16; A18). The addition of  
 395 environmental variables increased the explanation of variation to 56-72% (Fig. 10; Fig. A14;  
 396 A16; A18). Finally, the addition of leaf nitrogen content resulted in a total of 66-76% of  
 397 variation explained (Fig. 10; Fig. A14; A16; A18). This indicates that the addition of both  
 398 environmental variables and leaf nitrogen content to PFTs substantially improves the predictive  
 399 power of the empirical model of  $J_{max25}$ . Since the PFT definitions 3 and 4 considered growth  
 400 regions, both definitions implicitly contain the climate information, explaining why including  
 401 environmental factors with these PFT definitions does not greatly improve the proportion of

402 variation explained (Fig. 10; Fig. A14; A16; A18). For each temperature response functions, the  
 403 explained variance of  $J_{max25}$  by the most comprehensive classification of PFTs (PFT definition 4  
 404 with 21 PFTs in Fig. 10; Fig. A14; A16; A18;  $r^2=59-69\%$ ) and by the most comprehensive  
 405 classification of PFTs combined with environmental variables (Fig. 10; Fig. A14; A16; A18;  
 406  $r^2=64-68\%$ ) is similar to the model that only uses leaf nitrogen content and environmental  
 407 variables (Fig. 10; Fig. A14; A16; S18;  $r^2=63-69\%$ ). This suggests that we will also be able to  
 408 use environmental variables and leaf nitrogen content to make reasonable predictions about the  
 409 photosynthetic capacity of  $J_{max25}$  at the global scale, without distinguishing individual PFTs.

410

## 411 **Discussion**

### 412 *Variation in photosynthetic capacity with latitude*

413 Our results showed that species from tropical zones tend to have relatively low  $V_{c,max}$  and  
 414  $J_{max25}$  values. This finding is consistent with Kattge et al. (2009). There are three important  
 415 hypotheses about photosynthetic capacity that could explain why  $V_{c,max}$  and  $J_{max25}$  increase with  
 416 latitude. Firstly, plants from high latitudes need to invest more nitrogen in Rubisco to offset the  
 417 marked reduction in carboxylation at low temperatures. Without increased investment in  
 418 Rubisco, these plants could not sustain a positive carbon balance at low temperature (Kerkhoff et  
 419 al. 2005). This hypothesis is supported by our data showing that a higher  $NUE_{c,max25}$  is associated  
 420 with plants from high latitudes. Secondly, plants from lower latitudes may need to retain leaves  
 421 for a longer period in order to both endure shade (Reich et al. 2004) and to invest more nitrogen  
 422 in traits associated with leaf toughness, longevity and resistance to pests and pathogens, rather  
 423 than to tissues leading to high productivity (Kikuzawa et al. 2013). Therefore, for a given  
 424 nitrogen content, plants from lower latitudes may have a lower photosynthetic capacity (Reich et

425 [al. 1991b](#), [Prior et al. 2003](#), [Hikosaka 2005](#)). Finally, the growing season day length could be  
 426 another important factor contributing to the latitudinal pattern, in view that longer day length at  
 427 high latitudes could lead to higher  $V_{c,max25}$  and higher  $J_{max25}$ . Longer day length could be  
 428 associated with longer photoperiod, which has been demonstrated by previous studies to alter  
 429  $V_{c,max25}$  and  $J_{max25}$  ([Comstock and Ehleringer 1986](#), [Bauerle et al. 2012](#)). The mechanism of this  
 430 acclimation could be related to photoperiod sensing and regulations, which may modify the gene  
 431 expressions in plants ([e.g. Song et al. 2013](#)). Previous studies has shown that photosynthetic  
 432 capacity of *Pinus banksiana* seedlings was reduced by instituting a short-day treatment in the fall,  
 433 but maintaining high summer growth temperatures ([Busch et al. 2007](#), [2008](#)).

434

435 *Causes of variability in photosynthetic capacity*

436 In our global scale study, we found that environmental factors affected the photosynthetic  
 437 capacity mainly through the impact on photosynthetic nitrogen use efficiency, rather than  
 438 through leaf nitrogen content. This result is in agreement with previous studies showing large  
 439 variation in the relationship between leaf nitrogen content and photosynthesis ([Evans 1989](#)).  
 440 However, it is in disagreement with Niinemets et al. ([1998](#))’s finding that the change in area-  
 441 based leaf nitrogen content resulting from leaf morphological plasticity (e.g. leaf mass per unit  
 442 area) contributes much more to the photosynthetic capacity under light gradients than the  
 443 variations in nitrogen use efficiency resulting from changes in nitrogen investment. This  
 444 discrepancy could be attributed to the fact that at the global scale, there is a large amount of  
 445 variation in leaf nitrogen content with a large number of species (>100 species in this study  
 446 compared to 4 species in Niinemets et al. ([1998](#))’s study) and thus the impact of leaf nitrogen  
 447 content on  $V_{c,max25}$  became weaker, especially compared to variation within a single species

448 where changes in leaf mass per area explain the vast majority of vertical variation in leaf  
 449 nitrogen (e.g. [Ellsworth and Reich 1993](#)). This argument is supported by Feng & Dietze ([2013](#))’s  
 450 recent finding that relationships between leaf traits and photosynthesis established at broad  
 451 scales, such as across biome relationships, may not be captured at finer scales. Furthermore, if  
 452 we only consider the radiation impact on  $V_{c,max25}$ , our results suggest that radiation explained  
 453 little amount of the variation in leaf nitrogen and in  $NUE_{c,max25}$  (~2%; Fig. 7a). We want to point  
 454 out that variations in nitrogen use efficiency can be caused by several different factors including  
 455 leaf age ([Escudero and Mediavilla 2003](#)), nitrogen allocation to photosynthetic apparatus ([Xu et](#)  
 456 [al. 2012](#)), and specific activity and activation states of photosynthetic enzymes ([Poorter and](#)  
 457 [Evans 1998](#)). Currently, we do not have data on the above factors to point out the specific  
 458 mechanistic process contributing to the variation in nitrogen use efficiency.

459  
 460 *Hypotheses on environmental control of  $V_{c,max25}$*

461 Our result supports some of the hypotheses on environmental control of  $V_{c,max25}$  at the  
 462 global scale. Firstly, our study supports the hypothesis that  $V_{c,max25}$  is higher at a lower growth  
 463 temperature. This could be attributed to temperature acclimation due to changes in  
 464 photosynthetic enzyme properties ([Bunce 1998](#), [Hikosaka et al. 2005](#)) or in the nitrogen  
 465 investment ([Onoda et al. 2005](#), [Yamori et al. 2005](#)). Using a nitrogen allocation model based on a  
 466 trade-off of nitrogen allocated between growth and storage, and an optimization of nitrogen  
 467 allocated among light capture, electron transport, carboxylation, and respiration to maximize  
 468 photosynthesis, Xu et al. ([2012](#)) predicted that plants tend to invest higher amount nitrogen for  
 469  $V_{c,max25}$  and lower amount of nitrogen for  $J_{max25}$  and storage. Secondly, our study supports the  
 470 hypothesis that  $V_{c,max25}$  is higher at a higher irradiance, which is in agreement with the results

471 from Maire et al. (2012). This finding is also in agreement with the optimization hypothesis  
 472 proposed by Haxeltine & Prentice (1996) and Dewar (1996), who suggested that in theory, plants  
 473 may have a high photosynthetic capacity due to increased leaf nitrogen content under elevated  
 474 radiation levels. Haxeltine & Prentice (1996) developed a general model based on Farquhar's  
 475 model of photosynthesis for light-use efficiency of primary production, which linked  
 476 photosynthetic capacity and area-based leaf nitrogen content. Their approach was based on the  
 477 optimization theory that maximized net assimilation (photosynthesis minus leaf respiration)  
 478 against incoming radiation. Dewar (1996) did similar work to Haxeltine & Prentice (1996),  
 479 except that he maximized net photosynthesis at each canopy level. The results of Haxeltine &  
 480 Prentice (1996) and Dewar (1996) imply that once the photosynthetic properties of leaves have  
 481 adjusted to a given (and constant) daily pattern of radiation, then their daily light use efficiency  
 482 is constant.

483  
 484 *Uncertainties in data analysis and mechanistic interpretation*

485 One potential uncertainty can result from the temperature responses that we used to scale  
 486  $V_{c,max}$  and  $J_{max}$  to  $V_{c,max25}$ ,  $J_{max25}$ , respectively. We used four versions of temperature dependence  
 487 functions of  $V_{c,max}$  (see methodology) to assess the effects of potential bias in temperature  
 488 response estimation on our analyses. Specifically, we used temperature response functions from  
 489 (1) Collatz et al. (1991) and Sellers et al. (1996) which was based on the  $Q_{10}$  concept (2) a  
 490 temperature response function proposed by Leuning (2002) (3) a temperature response function  
 491 based on Kattge & Knorr (2007)'s formulation of acclimation, where temperature optimum was  
 492 a function of growth temperature, and (4) a temperature response function based on Kattge &  
 493 Knorr (2007)'s formulation but with limited temperature acclimation, where the plant's growth

494 temperature was constrained between 11°C and 35°C. The main difference among these four  
 495 functions is that the temperature response diverges at temperatures more than 30°C (Fig. 1).

496 Environmental variables contributed to a relatively lower amount of variation in observed  
 497  $V_{c,max25}$  and  $J_{max25}$  for TRF3 than for TRF1, TRF2, and TRF4. When Kattge & Knorr (2007)'s  
 498 formulation of temperature acclimation was used (TRF3 and TRF4), a lower  $V_{c,max25}$  and  $J_{max25}$   
 499 was generally associated with plant growth temperatures less than 15°C and more than 25°C  
 500 relative to without the acclimation case (TRF2) (Fig. A19a). The temperature acclimations did  
 501 not substantially change the standardized values of  $V_{c,max25}$  or  $J_{max25}$  at relative high growth  
 502 temperatures because most of the relatively high measurement temperatures were around 25°C  
 503 (Fig. A19b). Meanwhile, the temperature acclimations can lead to lower values of  $V_{c,max25}$  or  
 504  $J_{max25}$  at the lower measurement temperatures. Therefore, the temperature acclimations can lead  
 505 to a smaller variations in  $V_{c,max25}$  and  $J_{max25}$  across the environmental gradient (e.g. day length,  
 506 Fig. A19c; A19d). As a result, temperature response functions that assumed unlimited  
 507 temperature acclimation (TRF3) fitted the data a little poorer than temperature response  
 508 functions that did not assume acclimation (TRF1 and TRF2). The temperature responses function  
 509 with limited acclimation (TRF4) fit the data almost as good as TRF1 and TRF2 because it  
 510 limited temperature acclimation to the range between 11°C and 35°C. Overall, our result of the  
 511 relative impact of environmental factors and leaf nitrogen on photosynthetic capacity holds for  
 512 different types of temperature response functions.

513 While we have analyzed the most comprehensive data set currently available, we are  
 514 aware that the data set needs improvement. For example, the data set lacks information on  
 515 various mechanistic processes, which might explain the relationships we observed in the data set.  
 516 Our proposed method is purely correlational. It does not explain mechanistically the acclimation,

517 phenotypic plasticity, and turnover processes behind the statistical relationships between  $V_{c,max25}$   
 518 or  $J_{max25}$  and environmental variables nor does it account for trait trade-offs. Still, in our opinion,  
 519 it is an important and necessary step as it reflects the observed correlations between traits and  
 520 climate drivers ([Wright et al. 2005](#), [Martin-StPaul et al. 2012](#)). Importantly, we quantified the  
 521 key environmental predictors for  $V_{c,max25}$  or  $J_{max25}$  using a linear mixed model that captures a  
 522 large part of observed trait variation despite large variations among species. Furthermore, our  
 523 study shows that leaf nitrogen and environmental conditions alone are as good predictors of  
 524 photosynthetic capacity as functional type classifications or even functional type classification  
 525 combined with leaf nitrogen. This is an important step for future progress towards developing  
 526 models that can be used to more reliably predict future photosynthetic capacities.

527  
 528 *Implications for dynamic global vegetation modeling*

529 It has been suggested that we should improve the representation of  $V_{c,max}$  and  $J_{max}$  in  
 530 ESMs by using more or different groupings of PFTs; however, simply increasing the number of  
 531 PFTs is challenging both for computational reasons and because our understanding of the  
 532 mechanisms governing the distribution of even the most broad definitions of PFTs is poor. Our  
 533 findings about the environmental control on photosynthetic capacity are consistent with the  
 534 finding from Verheijen et al. ([2012](#)). Verheijen et al. ([2012](#)) illustrated that allowing traits within  
 535 PFTs to vary with plant trait – climate relationships yielded a closer match to some types of  
 536 observational data. The results of our study could be incorporated into a similar modeling  
 537 framework to improve prediction of future global carbon dynamics.

538 One important result from our study is that when we included the leaf nitrogen content  
 539 and environmental variables, depending on the temperature response function used, all four

540 groupings of PFTs explained a similar amount of variation in  $V_{c,max25}$  (Fig. 9; Fig. A13; A15;  
 541 A17). The same was true for  $J_{max25}$  (Fig. 10; A14; A16; A18). These suggest that, with the  
 542 inclusion of environmental variables and leaf nitrogen content, we should be able to successfully  
 543 predict the photosynthetic capacity with relatively few PFTs. Our findings demonstrate  
 544 functional convergence, that is, even across contrasting PFTs, at the scale of leaf, fundamental  
 545 physiological constraints apply ([Schulze et al. 1994](#), [Reich et al. 1997](#), [Meinzer 2003](#)). Reich et  
 546 al. ([1997](#)) demonstrated the concept of functional convergence, whereby, universal constraints,  
 547 or trade-offs, among fundamental leaf traits such as nitrogen content, life-span, photosynthetic  
 548 capacity and leaf mass per area were found to apply across hundreds of species native to a wide  
 549 range of biomes from the tropics to tundra. Our findings provide a clear physiological reason  
 550 why we can simplify some of our models and therefore have important implications for  
 551 interpreting and modeling vegetation properties such as productivity across a broad range of  
 552 scales.

553 Another important result is that, the inclusion of leaf nitrogen content and environmental  
 554 variables to any one of the four groupings of PFTs explained a similar amount of variation in  
 555  $V_{c,max25}$  (depending on the temperature response function used) as the model that considered  
 556 environmental variables and leaf nitrogen content without PFTs (Fig. 9; Fig. A13; A15; A17).  
 557 The same was true for  $J_{max25}$  (Fig. 10; A14; A16; A18). These suggest that environmental  
 558 variables and leaf nitrogen content can predict most of the variation in the photosynthetic  
 559 capacity, and therefore we do not need to consider PFTs for  $V_{c,max25}$  and  $J_{max25}$  estimation.

560 The environmental control of  $V_{c,max25}$  and  $J_{max25}$  could have important implications for  
 561 predicting vegetation dynamics and carbon fluxes. Some ESMs have already incorporated the  
 562 effect of seasonal variation in relative day length at the pixel level on  $V_{c,max25}$  and  $J_{max25}$

563 ([Bauerle et al. 2012](#)); however, as far as we know, most ESMs have not incorporated effects of  
 564 temperature, radiation, day length and humidity on  $V_{c,max25}$  and  $J_{max25}$  at the global scale. For the  
 565 high latitudes (boreal and arctic), where the most dramatic warming is predicted to occur ([Bonan](#)  
 566 [2008](#), [Bader 2014](#), [Ding et al. 2014](#)), the temperature effects on  $V_{c,max25}$  ([Xiang et al. 2013](#)) could  
 567 be important to predict vegetation responses to warming. Our analysis suggests that as high  
 568 latitudes warm,  $V_{c,max25}$  should decrease. Therefore, not accounting for the correlation between  
 569 temperature and  $V_{c,max25}$  would lead to an overestimation of photosynthetic capacity and thus an  
 570 overestimation of gross primary production in future warmer high latitude ecosystems. With a  
 571  $V_{c,max25}$  of  $91.6 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and a  $J_{max25}$  of  $205.7 \mu\text{mol electron m}^{-2} \text{ s}^{-1}$  based on our arctic  
 572 data, acclimation in  $V_{c,max25}$  and  $J_{max25}$  due to a  $5^\circ\text{C}$  increase in temperature would reduce  
 573 photosynthesis by 36% (see Appendix C) relative to what would occur in the absence of down-  
 574 regulation. Regardless of whether plants acclimate to temperature or not, warming increased  
 575 photosynthesis. The increment of photosynthesis was lower when acclimation was assumed than  
 576 without acclimation. We want to point out that  $\text{CO}_2$  is a key environmental factor that could  
 577 affect  $V_{c,max25}$  and  $J_{max25}$  ([Medlyn et al. 1999](#), [Ainsworth and Rogers 2007](#)). In this study, we do  
 578 not examine the importance of atmospheric  $\text{CO}_2$  concentration due to the potential bias as a  
 579 result of a limited number species under elevated  $\text{CO}_2$ . Future studies that link the atmospheric  
 580  $\text{CO}_2$  concentration with temperature, radiation and humidity to assess their impact on  $V_{c,max25}$  and  
 581  $J_{max25}$  could be critical for our prediction of photosynthesis rates under climatic change.

582

### 583 *Future work & caveats*

584         The results of our study suggest that at the global scale, environmental variables have  
 585 much stronger control on plant photosynthetic capacity than the area-based leaf nitrogen content.

586 Our normalized dataset could be used as a basis for development and parameterization of more  
 587 mechanistic models ([e.g. Xu et al. 2012](#)). Such models can be very useful for understanding  
 588 carbon-nitrogen coupling at the leaf scale and simulating the acclimation of photosynthetic  
 589 capacity to temperature in ESMS. However, there are still caveats that should be considered.  
 590 First, although environmental and leaf nitrogen content values explained a large part of  
 591 variability in photosynthetic capacity, there is still significant uncertainty that cannot be  
 592 explained – which could be attributed to other constraints such as leaf life span ([Wright et al.](#)  
 593 [2004](#), [Reich et al. 2007](#)), phosphorus limitation ([Lewis et al. 1994](#), [Warren 2011](#)), leaf thickness  
 594 ([Wright and Westoby 2002](#)), leaf development ([Wilson et al. 2000](#), [Xu and Baldocchi 2003](#),  
 595 [Grassi et al. 2005](#)), leaf nonstructural carbohydrate content ([Misson et al. 2006](#)), soil water  
 596 content ([Nogues and Alegre 2002](#)), soil temperature ([Misson et al. 2006](#)), and pH of the soil or  
 597 rooting depth ([Canadell et al. 1996](#)). To our knowledge, only a few studies have looked at the  
 598 effects of the above factors on photosynthetic capacity and therefore, at this time, it is not  
 599 possible to examine how these factors would control the plant photosynthetic capacity at the  
 600 global scale.

601 Second, in this study, we used mean monthly environmental variables. Thus, our results  
 602 are focused on an intermediate time scale. A different interpretation of the impacts of these  
 603 environmental variables on photosynthetic capacity could result from considering short-term  
 604 (daily basis) or long-term (yearly or decadal) scales. In the short-term (daily basis), variation in  
 605 environmental variables may explain only a small amount of the variation in photosynthetic  
 606 capacity ([Xu and Baldocchi 2003](#)). In the long-term, environmental variables could cause up to  
 607 two fold inter-annual variations in photosynthetic capacity ([Grassi et al. 2005](#), [Kitaoka and](#)  
 608 [Koike 2005](#), [Iio et al. 2008](#)). Because the response of photosynthesis to these environmental

609 drivers is nonlinear, the response derived from monthly mean environmental conditions could be  
 610 very different from the mean of the daily responses. For example, strong seasonal and diurnal  
 611 variability in the magnitudes of temperature increase may shift the photosynthesis-temperature  
 612 relationships of plants by altering the balance between  $V_{c,max}$  and  $J_{max}$  and changing temperature  
 613 optima ([Billings et al. 1971](#), [Berry and Björkman 1980](#), [Wilson et al. 2000](#), [Onoda et al. 2004](#),  
 614 [2005](#)).

615  
 616 **Acknowledgments**

617 This work is funded by UC Lab Research Program (Award ID: 2012UCLRP0IT00000068990)  
 618 and by DOE Office of Science, Office of Biological and Environmental Research. This  
 619 submission is under public release with the approved LA-UR-13-27167.

620  
 621 **Conflict of Interest**

622 None declared.

623  
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978 **Supporting Information** Additional Supporting Information may be found in the online version  
 979 of this article:

980 **Appendix A** Shows a list of published literature sources and further details of linear mixed  
 981 effects analyses using various temperature response functions mentioned in the text.

982 **Appendix B** Relationship between photosynthetic capacity and other variables, including  
 983 statistic.

984 **Appendix C** Effect of temperature acclimation on leaf photosynthesis (A).

985 **Appendix D** Temperature dependence of kinetic properties of Rubisco.

986 **Appendix E** Temperature dependence of  $V_{c,max}$  and  $J_{max}$ .

987 **Appendix F** The Farquhar Photosynthesis & Ball-Berry model.

988 **Appendix G** Standardization of  $V_{c,max25}$  and  $J_{max25}$ .

989 **Supplementary** Photosynthetic and climate data associated with this paper. The dataA.txt file  
 990 defines various acronyms for temperature response functions. The classifications of various plant  
 991 functional types are shown in dataB.txt. The climate variables and standardized values of  $V_{c,max25}$   
 992 and  $J_{max25}$  are presented in dataC.txt. dataD.txt contains standardized values of  $V_{c,max25}$  and  $J_{max25}$   
 993 and temperature response functions are identified.

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999 **Figure Captions**

1000 **Figure 1** Temperature ( $^{\circ}\text{C}$ ) response curves for  $V_{c,max}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) using four different  
 1001 temperature response functions; TRF1 (black dashed), TRF2 (black dotdash), TRF3 at two  
 1002 growth temperatures;  $6^{\circ}\text{C}$  and  $30^{\circ}\text{C}$  (TRF3<sub>6</sub>; blue longdash, TRF3<sub>30</sub>; blue twodash), and TRF4 at  
 1003 two growth temperatures;  $6^{\circ}\text{C}$  and  $30^{\circ}\text{C}$  (TRF4<sub>6</sub>; green longdash, TRF4<sub>30</sub>; green twodash). The  
 1004 dashed vertical lines indicate the range of the measured temperature ( $6^{\circ}\text{C}$  to  $34^{\circ}\text{C}$ ) at which  
 1005 measured  $V_{c,max}$  was reported by the studies.

1006  
 1007 **Figure 2** Box plots of individual data points of  $V_{c,max25}$ , photosynthetic nitrogen use efficiency of  
 1008  $V_{c,max25}$  ( $NUE_{c,max25}$ ),  $J_{max25}$ , photosynthetic nitrogen use efficiency of  $J_{max25}$  ( $NUE_{j,max25}$ ) and leaf  
 1009 nitrogen content ( $LNC_a$ ) by latitude (a,b,c,d,e,f).  $V_{c,max25}$ ,  $NUE_{c,max25}$ ,  $NUE_{j,max25}$  and  $LNC_a$  were  
 1010 binned at latitude in correspondence with their biome regions; Temperate region in the South of  
 1011 Equator (Temp(S)), Tropical, Temperate region in the North of Equator (Temp(N)), Boreal and  
 1012 Arctic. We used TRF4 as the temperature response function. See Appendix Figure A1-3 for  
 1013 other temperature response curves.

1014  
 1015 **Figure 3** Percentage of variation in (a)  $V_{c,max25}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and (b)  $J_{max25}$  ( $\mu\text{mol electron}$   
 1016  $\text{m}^{-2} \text{ s}^{-1}$ ) using different temperature response functions (TRFs) explained by the sum of the local  
 1017 environmental variables ( $E$ ) and leaf nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ). Temperature response  
 1018 function 1 (TRF1) is adapted from Collatz et al. ([1991](#)) and Sellers et al. ([1996](#)), TRF2 is a  
 1019 temperature response function proposed by Leuning ([2002](#)), TRF3 is a temperature response  
 1020 function based on Kattge & Knorr ([2007](#))’s formulation of acclimation, where temperature

1021 optimum was a function of growth temperature, and TRF4 is based on Kattge & Knorr (2007)'s  
 1022 formulation but with limited temperature acclimation, where the plant's growth temperature was  
 1023 constrained between 11°C and 35°C. See Appendix G for details of different temperature  
 1024 response functions.

1025  
 1026 **Figure 4** Percentage of variation in  $V_{c,max25}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) explained by area-based leaf  
 1027 nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ) and all of the environmental variables (E) are shown (a), where  
 1028 the specific environmental variables include day length (D; hours), daytime radiation (R;  $\text{W m}^{-2}$ ),  
 1029 growth temperature (T; °C), relative humidity (RH; unitless), and “ $\tilde{E}$ ” includes daytime  
 1030 radiation, growth temperature and relative humidity. The relationship between  $V_{c,max25}$  and  
 1031 environmental variables including day length (b), daytime radiation (c), temperature (d), relative  
 1032 humidity (e), and leaf nitrogen content (f) with the grey solid line estimated from linear mixed-  
 1033 effects model. The data points correspond to an individual leaf. The coefficient of the regression  
 1034 and corresponding p-values (in the parentheses) is shown in different panels. We used TRF4 as  
 1035 the temperature response function. See Appendix Figure A4, A6 and A8 for other temperature  
 1036 response curves.

1037  
 1038 **Figure 5** Percentage of variation in  $J_{max25}$  ( $\mu\text{mol electron m}^{-2} \text{ s}^{-1}$ ) explained by area-based leaf  
 1039 nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ) and all of the environmental variables (E) are shown (a), where  
 1040 the specific environmental variables include day length (D; hours), daytime radiation (R;  $\text{W m}^{-2}$ ),  
 1041 growth temperature (T; °C), relative humidity (RH; unitless), and “ $\tilde{E}$ ” includes daytime  
 1042 radiation, growth temperature and relative humidity. The relationship between  $J_{max25}$  and  
 1043 environmental variables including day length (b), daytime radiation (c), temperature (d), relative

1044 humidity (e), and leaf nitrogen content (f) with the grey solid line estimated from linear mixed-  
 1045 effects model. The data points correspond to an individual leaf. The coefficient of the regression  
 1046 and corresponding p-values (in the parentheses) is shown in different panels. We used TRF4 as  
 1047 the temperature response function. See Appendix Figure A5, A7 and A9 for other temperature  
 1048 response curves.

1049

1050 **Figure 6** Percentage of variations in photosynthetic nitrogen use efficiency of  $V_{c,max25}$   
 1051 ( $NUE_{c,max25}$ ;  $\mu\text{mol CO}_2 \text{ g}^{-1}\text{N s}^{-1}$ ) (a), leaf nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ) (a,b) and  
 1052 photosynthetic nitrogen use efficiency of  $J_{max25}$  ( $NUE_{j,max25}$ ;  $\mu\text{mol electron g}^{-1}\text{N s}^{-1}$ ) (b) explained  
 1053 by the sum of the local environmental variables (E) using different temperature response  
 1054 functions (TRF). Original dataset (a) and a subset of the original data that reported  $J_{max}$  values  
 1055 was used (b). Thus, data sets for leaf nitrogen contents differed for  $V_{c,max25}$  and  $J_{max25}$ . The  
 1056 nitrogen use efficiency of  $V_{c,max25}$  (a) while Fig. 6b is for the nitrogen use efficiency of  $J_{max25}$ .  
 1057 Temperature response function 1 (TRF1) is adapted from Collatz et al. (1991) and Sellers et al.  
 1058 (1996), TRF2 is a temperature response function proposed by Leuning (2002), TRF3 is a  
 1059 temperature response function based on Kattge & Knorr (2007)'s formulation of acclimation,  
 1060 where temperature optimum was a function of growth temperature, and TRF4 is based on Kattge  
 1061 & Knorr (2007)'s formulation but with limited temperature acclimation, where the plant's  
 1062 growth temperature was constrained between 11°C and 35°C. See Appendix G for details of  
 1063 different temperature response functions.

1064

1065 **Figure 7** Percentage of variations in (a) photosynthetic nitrogen use efficiency of  $V_{c,max25}$   
 1066 ( $NUE_{c,max25}$ ;  $\mu\text{mol CO}_2 \text{ g}^{-1}\text{N s}^{-1}$ ), leaf nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ) and (b) photosynthetic

1067 nitrogen use efficiency of  $J_{max25}$  ( $NUE_{j,max25}$ ;  $\mu\text{mol electron g}^{-1}\text{N s}^{-1}$ ) explained by all of the  
 1068 environmental variables (E), where the specific environmental variables include day length (D;  
 1069 hours), daytime radiation (R;  $\text{W m}^{-2}$ ), temperature (T;  $^{\circ}\text{C}$ ), and relative humidity (RH; unitless).  
 1070 We used TRF4 as the temperature response function. See Appendix Figure A10-12 for other  
 1071 temperature response curves.

1072  
 1073 **Figure 8** Percentage of variation in (a)  $V_{c,max25}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and (b)  $J_{max25}$  ( $\mu\text{mol electron}$   
 1074  $\text{m}^{-2} \text{ s}^{-1}$ ) using different temperature response functions (TRF) explained by the most  
 1075 comprehensive PFT definition 4 (PFTD4) that consisted of growth form, leaf form, leaf status,  
 1076 region and soil type (total of 21 PFTs) and by the sum of the local environmental variables (E)  
 1077 and leaf nitrogen content ( $LNC_a$ ;  $\text{gN m}^{-2}$ ). Temperature response function 1 (TRF1) is adapted  
 1078 from Collatz et al. (1991) and Sellers et al. (1996), TRF2 is a temperature response function  
 1079 proposed by Leuning (2002), TRF3 is a temperature response function based on Kattge & Knorr  
 1080 (2007)'s formulation of acclimation, where temperature optimum was a function of growth  
 1081 temperature, and TRF4 is based on Kattge & Knorr (2007)'s formulation but with limited  
 1082 temperature acclimation, where the plant's growth temperature was constrained between  $11^{\circ}\text{C}$   
 1083 and  $35^{\circ}\text{C}$ . See Appendix G for details of different temperature response functions.

1084  
 1085 **Figure 9** Percentage of variations in  $V_{c,max25}$  explained by different plant functional types (PFT),  
 1086 environmental variables (E) and leaf nitrogen content ( $LNC_a$ ). Species were grouped in 4  
 1087 different combination of plant functional types (PFTs) by using the growth form (herbaceous,  
 1088 shrubs and trees), leaf form (needleleaf and broadleaf), leaf status (evergreen and deciduous),  
 1089 region (tropical, temperate, boreal and arctic) and soil type (oxisol or non-oxisol). PFT definition

1090 1 (PFTD1) consisted of growth form only (total of 3 PFTs), PFT definition 2 (PFTD2) included  
 1091 growth form, leaf form and leaf status (total of 9 PFTs), PFT definition 3 (PFTD3) comprised of  
 1092 growth form, leaf form, leaf status and region (total of 19 PFTs), and PFT definition 4 (PFTD4)  
 1093 comprised of growth form, leaf form, leaf status, region and soil type (total of 21 PFTs). The  
 1094 dashed line indicates the amount (55%) of the variation in  $V_{c,max25}$  explained by environmental  
 1095 variables and  $LNC_a$ . We used TRF4 as the temperature response function. See Appendix Figure  
 1096 A13, A15, and A17 for other temperature response curves.

1097

1098 **Figure 10** Percentage of variations in  $J_{max25}$  explained by different plant functional types (PFT),  
 1099 environmental variables (E) and leaf nitrogen content ( $LNC_a$ ). Species were grouped in 4  
 1100 different combination of plant functional types (PFTs) by using the growth form (herbaceous,  
 1101 shrubs and trees), leaf form (needleleaf and broadleaf), leaf status (evergreen and deciduous),  
 1102 region (tropical, temperate, boreal and arctic) and soil type (oxisol or non-oxisol). PFT definition  
 1103 1 (PFTD1) consisted of growth form only (total of 3 PFTs), PFT definition 2 (PFTD2) included  
 1104 growth form, leaf form and leaf status (total of 9 PFTs), PFT definition 3 (PFTD3) comprised of  
 1105 growth form, leaf form, leaf status and region (total of 19 PFTs), and PFT definition 4 (PFTD4)  
 1106 comprised of growth form, leaf form, leaf status, region and soil type (total of 21 PFTs). The  
 1107 dashed line indicates the amount (64%) of the variation in  $V_{c,max25}$  explained by environmental  
 1108 variables and  $LNC_a$ . We used TRF4 as the temperature response function. See Appendix Figure  
 1109 A14, A16 and A18 for other temperature response curves.

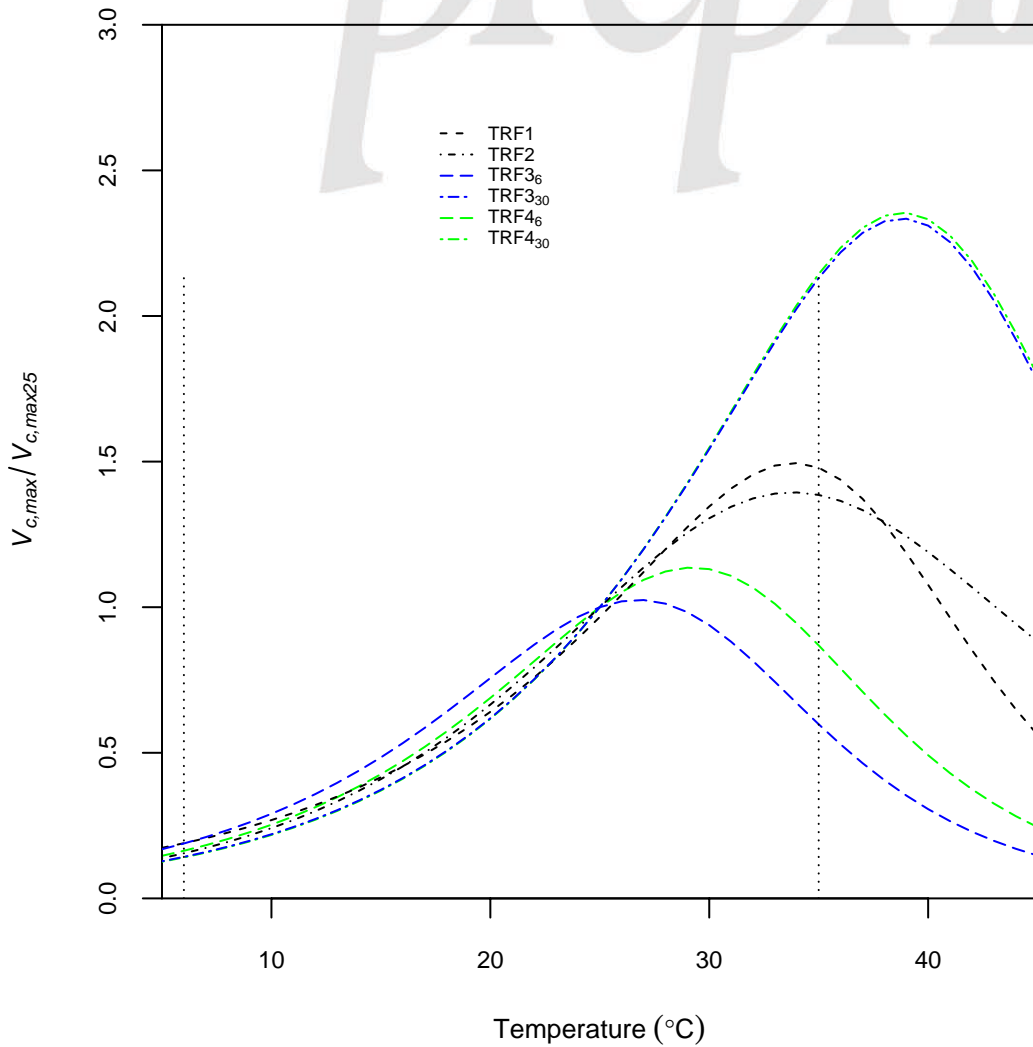
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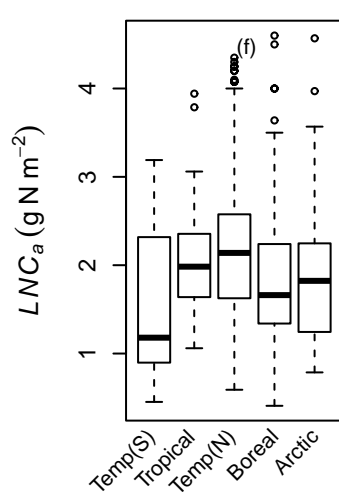
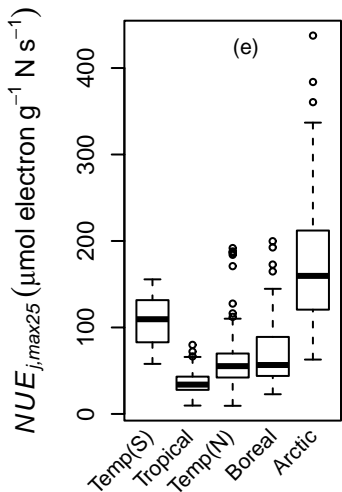
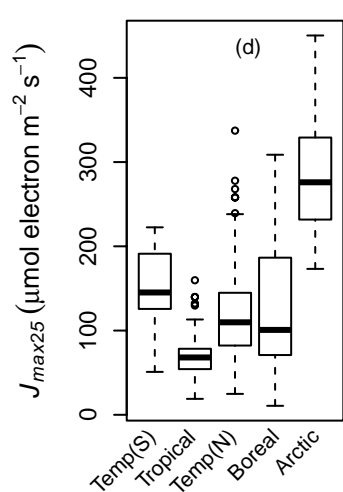
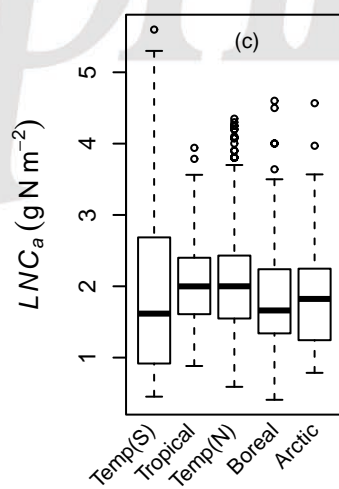
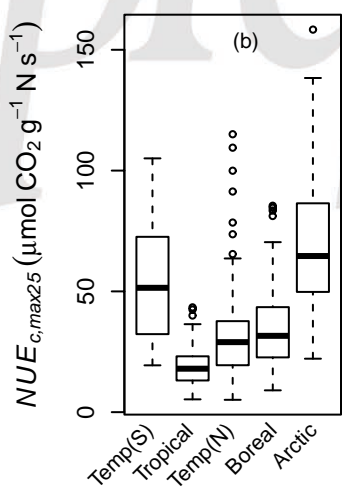
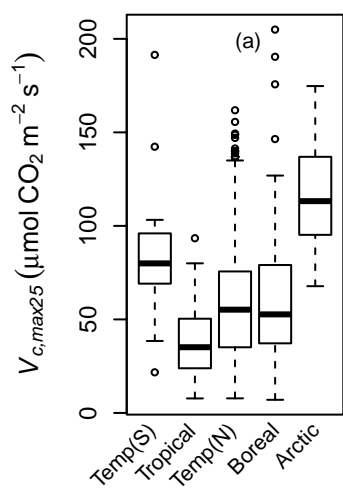
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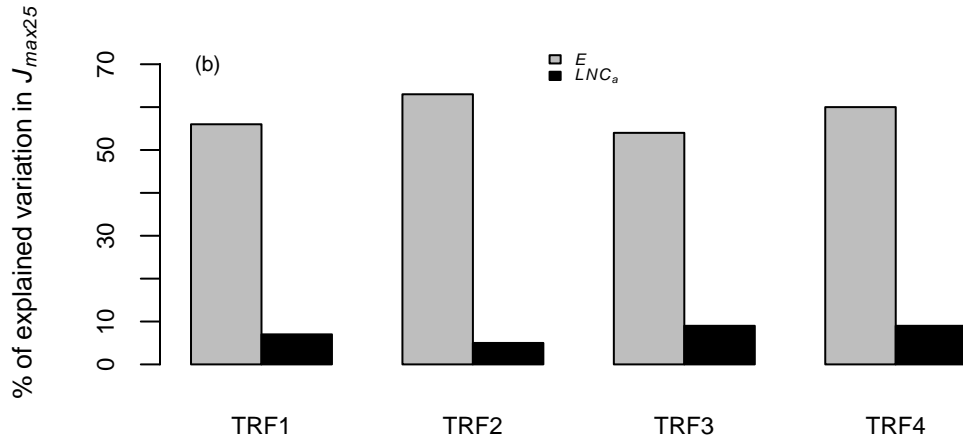
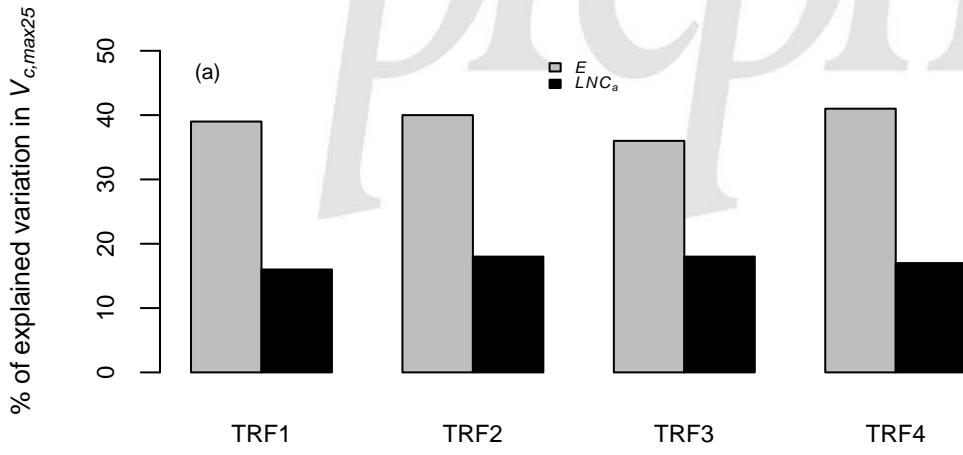
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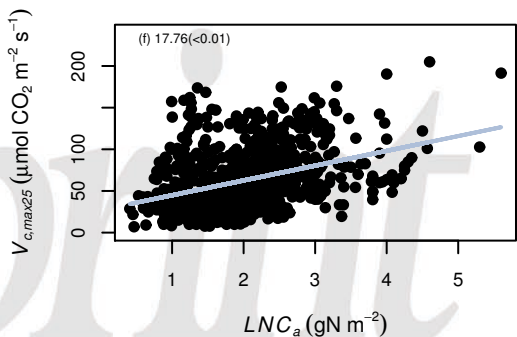
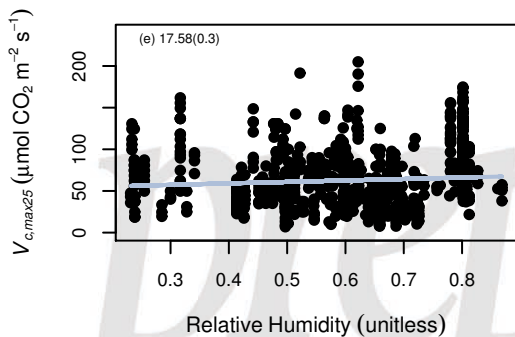
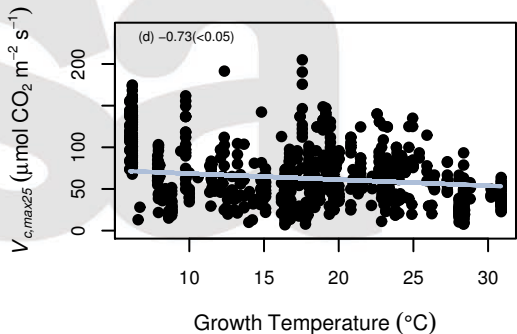
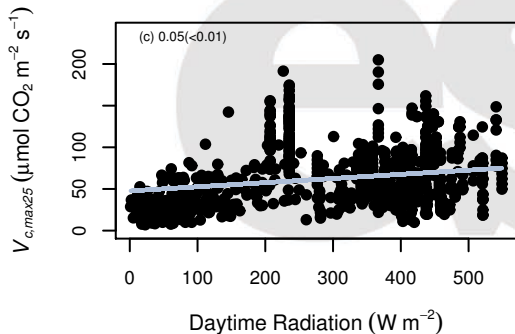
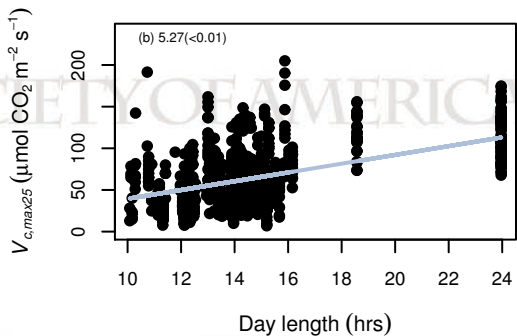
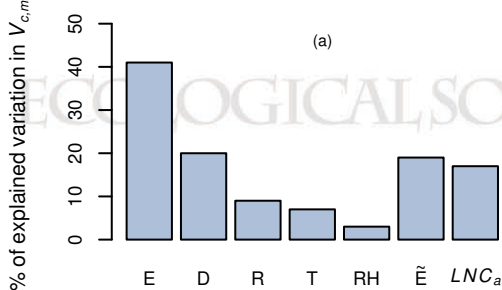
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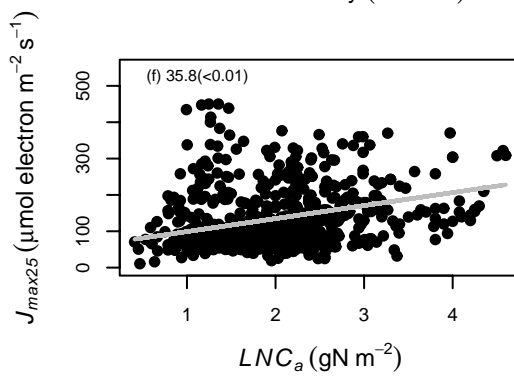
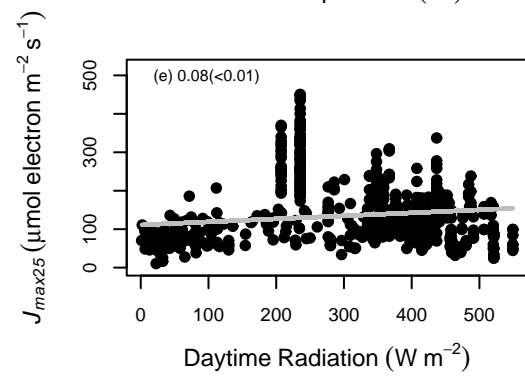
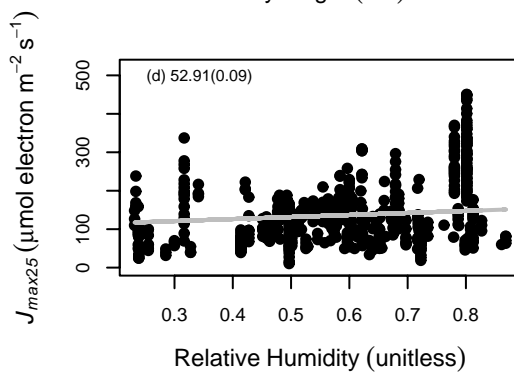
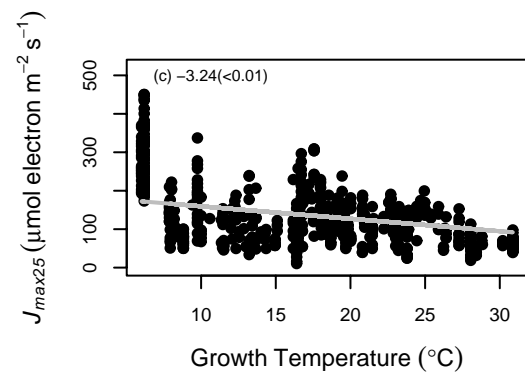
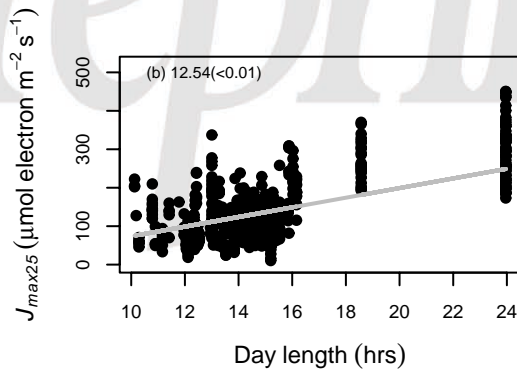
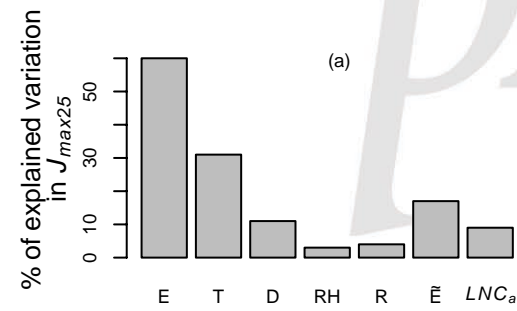
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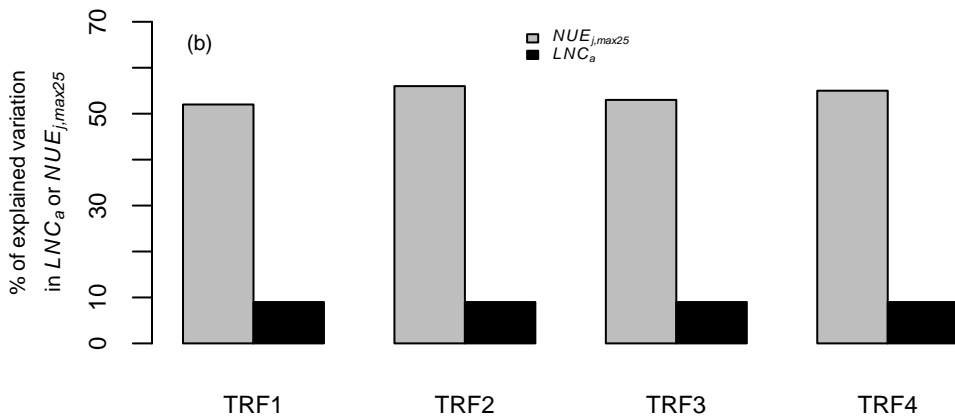
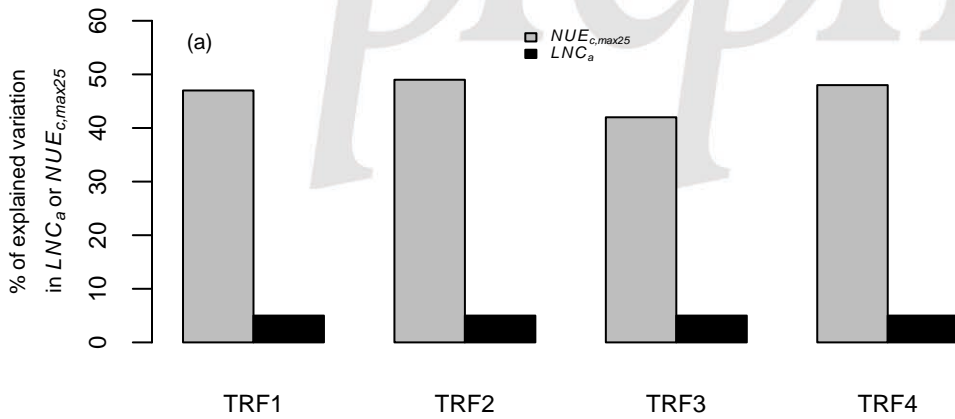
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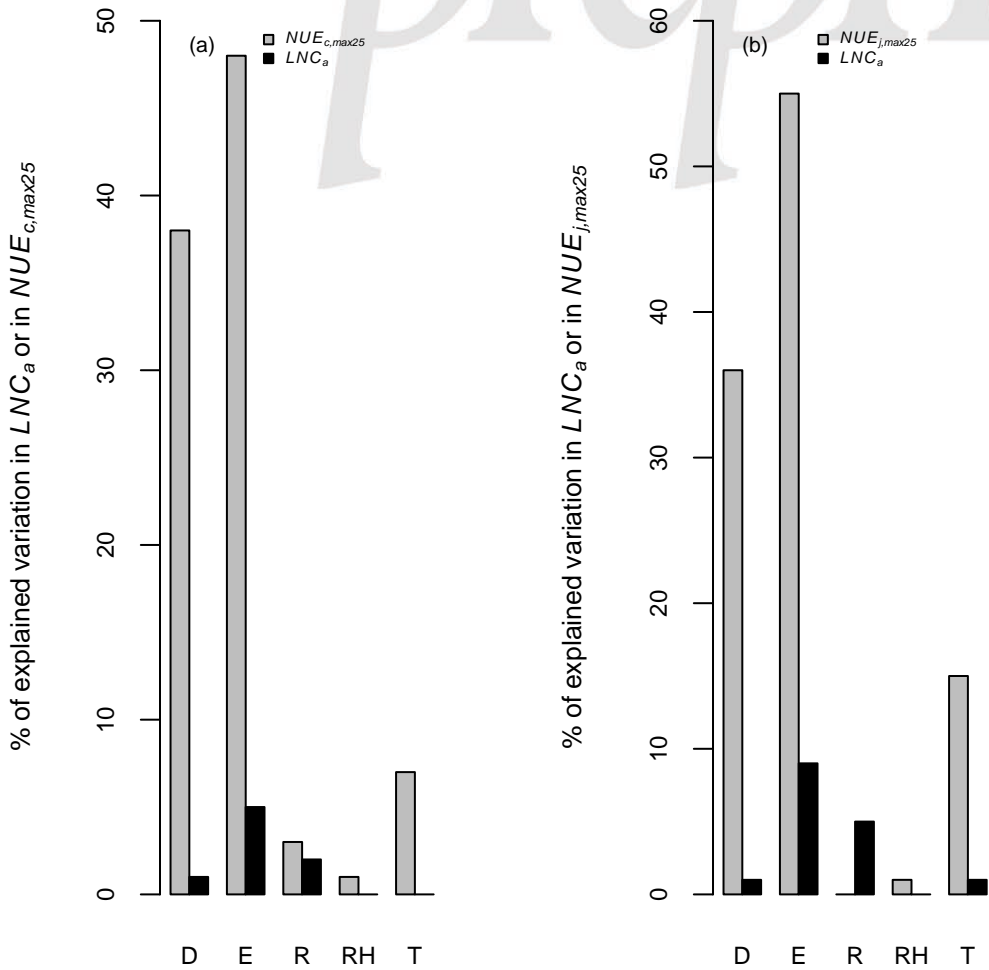
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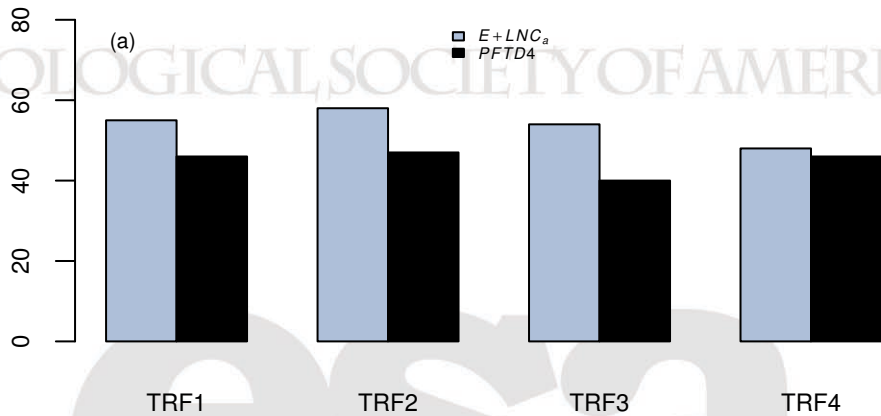


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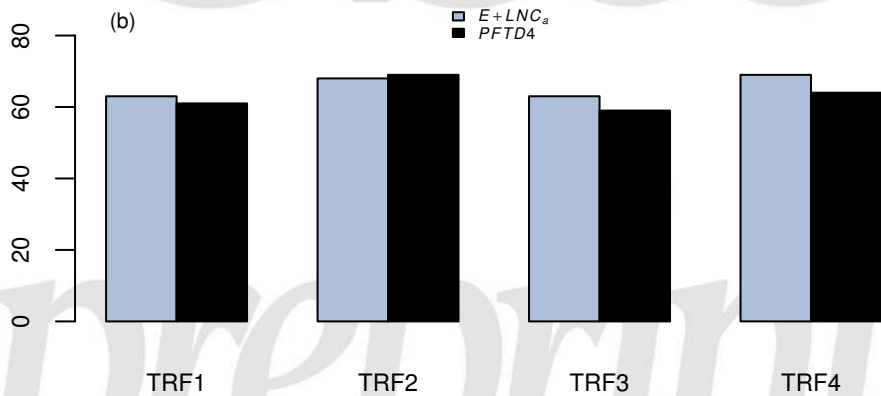
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% of explained variation in  $V_{c,max25}$



% of explained variation in  $J_{max25}$



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